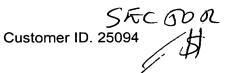
1





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MEMORIE Application of:

Thomas W. Kleinpeter, et al.

Serial No.

09/693,393

Filing Date:

October 20, 2000

Title

System and Method for Enabling File Transfers

Executed in a Network Environment by a Software

Program

BOX Missing Parts

Assistant Commissioner of Patents Washington, D.C. 20231

Certification Under 37 C.F.R. §1.8

I hereby certify that the documents listed below are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on February 5, 2001.

Janice Pampell

RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application which was granted a Filing Date of October 20, 2000, and mailed to us on December 13, 2000, applicants submit herewith:

- A copy of the Notice to File Missing Parts of Application; 1.
- An executed Declaration and Power of Attorney; 2.
- Check in the amount of \$65.00 representing the surcharge for a small 3. entity;
- An executed Assignment and Recordation Cover Sheet; and 4.
- Check in the amount of \$40.00 representing the recordation fee for 5. the Assignment document.

AU\4050566.1 105000-991101 The Commissioner is hereby authorized to deduct any deficiency or credit any overpayment to Deposit Account 50-0456 of Gray Cary Ware & Freidenrich LLP.

Respectfully submitted,

Gray Gary Ware A Freidenrich LLP

Attorneys for Applicant

Steven R. Sprinkle Reg. No. 40,825

Date: February <u>5</u>, 2001

100 Congress Avenue Suite 1440 Austin, Texas 78701 (512) 457-7025 - Telephone (512) 457-7070 - Facsimile



Page 1 of 1

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/693,393

10/20/2000

Thomas W. Kleinpeter III

AUDIO1100-1

Robert A McLauchlan Gray Cary Ware & Freidenrich LLP 100 Congress Avenue Suite 1440 Austin, TX 78701



Date Mailed: 12/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/09/2001 BHABTEW 00000036 09693393